This article describes a particular lexicogrammatical resource that Spanish uses to realize academic language, the resource that Systemic Functional Linguistics (SFL) refers to as grammatical metaphor (GM). Developed mainly by Halliday (1994), the notion of GM represents an original and innovative contribution that identifies and describes the fact that scientific and academic registers, in writing and in speaking, are functionally oriented to accomplishing ‘objectification’ and ‘abstraction’ of their content. They achieve this functional goal through the linguistic means of GM, a resource that condenses information by expressing experiences and events in an incongruent form, as contrasted with the more customary congruent form that prevails in everyday language use. The paper presents three types of GMs as a way of explicating and tracing the development of academic language at the college level in heritage speakers of Spanish: (1) *Ideational GM*, an incongruent representation of experiential meaning; (2) *logical GM*, a way of organizing ideas at the level of discourse in an incongruent manner; and (3) *interpersonal GM*, which presents authorship in the text both implicitly and explicitly.

I have chosen the Spanish heritage learner for several reasons. First, as a consequence of recent demographic trends, Spanish language use has visibly and audibly increased in the United States. As new immigrants interact in the community, in schools, businesses, and the workplace, in Spanish with those who have been here for some time or who were born here, Spanish is not
only heard with greater frequency in public environments, it is also seen more prominently in the media and in advertising (Carreira, 2003). This demographic change and the increasing use of Spanish in public and private settings, has important implications for language teachers and students. My home institution, for example, has seen a considerable rise in the number of Latino students who are pursuing Spanish for professional purposes.²

Second, although some students are able to develop the desired public and academic registers on their own, primarily through writing and reading, a more adequate theoretical and pedagogical framework than that currently informing academic language development is needed if a greater number of students is to be successful. In other words, language educators need a way of understanding and teaching how language means in academic contexts. Unsworth (2000), Christie (2002a), Ravelli and Ellis (2004) and Schleppegrell (2004), among others have emphasized the need to explicitly focus on how language means in academic contexts and have also pointed out to SFL as a viable educational linguistic framework to address issues of genre and register in the classroom. This is so because there is ample evidence that students, in general, will develop academic-level proficiency primarily through language-based interactions in school settings; this general insight is particularly true for Spanish language arts instruction in the context of minority language teaching in the United States.

From the linguistic point of view studies have shown us that the expansion of the bilingual competence in the heritage language, especially at the academic register help the development of English as an academic language, i.e. that heritage students could develop and transfer faster academic skills into English when they have reached that level in their heritage language (Cenoz & Genesee 1998; Cummins 2000). Cummins (2000) (Harley et al., 1990) has studied the bilingual proficiency of heritage students in schools.
He was the first to suggest two categories for the bilingual competence: conversational language (BICS: bilingual interpersonal communicative skills) and cognitive/academic language (CALP: cognitive academic language proficiency). He suggested that there was a common underlying language proficiency and that linguistic skills could be transferred from one language to the other. He also pointed out the fact that it is easier and faster for heritage speakers to develop academic/cognitive skills in their heritage language first and, then transfer those skills to the second language. Academic language skills developed in the first language facilitate the development of the second language, especially at advanced literacy levels (Belcher & Connor 2001; Beykont 2002; Schleppegrell & Colombi 2002; Valdés, 2001, 2003). Studies have also shown that bilingualism and biliteracy increase the cognitive abilities of students (August & Hakuta 1997, 1998; Cummins 2000).

But what are the distinctive linguistic structures of the written as opposed to the spoken medium that are essential to subject-specific literacies, and in this case to Spanish? Little research has been done on the linguistic features that heritage speakers develop in Spanish as an academic language or on the transference of academic English to Spanish in heritage speakers (Schleppegrell and Colombi 1997; Valdés 2001; Martínez 2003). Most of the studies of Spanish as an academic language have dealt with the written language (Acevedo 2003, Colombi 1997, 2000, 2002, 2003; Gibbons 1999) and, only few have analyzed the oral language (Valdés and Geoffrin-Vinci 1998, Achugar 2003).

In this paper I endeavor to address the concept of GM as a distinctive linguistic characteristic of academic texts. My interest in doing so is this: Texts with a high degree
of GM tend to be considered prestigious in U.S. culture and the use of GM is considered an essential marker of academic and professional-level literacy. As Spanish becomes much more present in the public sphere in the United States an explicit pedagogy for Spanish as an academic language becomes a critical aspect of equity, access, and literacy in the public square. To the extent that the analysis presented here supports effective explanations of Spanish academic texts as they are used in school and, furthermore, to the extent that it is possible to specify pedagogies that support the acquisition of key features of such language use, these insights could contribute to setting an agenda for the curriculum in Spanish as a heritage language in the United States for different groups of students who are engaged in the acquisition of advanced literacy for a variety of purposes.

A HEADER

Linguistic Features of Academic Language

In a longitudinal study of Spanish as a heritage language in the U.S. I followed students’ writing and oral presentations for a period of a year (three quarters) in a program of Spanish for Native Speakers (SNS) with a purpose of analyzing the development of literate language. This SNS program which is composed of a sequence of three courses (one academic year) aims at developing academic proficiency in oral and written modes using a text-based curriculum together with a Freirian (problem-posing methodology, peer-tutoring and identity related activities) and a process oriented (multiple version assignments, peer-editing, journals, etc.) methodology. The first version of each students’ compositions together with their oral presentations were collected, transcribed and analyzed following an SFL framework. A look at the development of these Latino students’ writing in Spanish in an academic context (Colombi
2000, 2002, 2003) shows a progression along a continuum of expressive forms, from what one might, quite generally, refer to as a colloquial register to more academic forms of language use. The following characteristics are useful for defining this language use along that continuum.

<table>
<thead>
<tr>
<th>Table 1: Oral- written continuum adapted from Halliday (1985)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Oral</td>
</tr>
<tr>
<td>Linguistic characteristics</td>
</tr>
<tr>
<td>➢ dynamic structure</td>
</tr>
<tr>
<td>➢ everyday lexicon</td>
</tr>
<tr>
<td>➢ non-standard grammar</td>
</tr>
<tr>
<td>➢ grammatical complexity</td>
</tr>
</tbody>
</table>

Because SFL builds on the fundamental interconnectedness of language use, including specific forms of language use, and the social context, a key aspect of an educational approach that uses insights from SFL is to assure that students develop exactly that awareness: the fundamentally social nature of the language use practices -- and that includes literate practices -- and how these practices are socially positioned. For that reason, a generally postulated difference between oral and written modes of language must always be examined in context, so as to understand the local considerations that motivated specific language choices.

Even so, a range of grammatical differences between spoken and written language has been extensively documented (Halliday 1985; Chafe and Danielewics 1987, among others). Halliday (1998) points particularly to lexical density, nominalization, and grammatical metaphor as the main lexicogrammatical characteristics of written (academic) language. While the idea of lexical metaphor in a conventional sense is also available in SFL theory, it is the notion of GM, developed mainly by Halliday (1994), which represents the more original and innovative
contribution to linguistic theory.

Specifically, Halliday (1993) proposes that ‘young children’s world of meaning is organized congruently’, i.e., their language reflects directly their experience of the world. However, as they approach adolescence and adult knowledge, young people begin to reconstrue ‘their clausal grammar in a different, nominalized form’, what he calls grammatical metaphor, a process that is strongly influenced by schooling. Directly related to experiential knowledge, GM nevertheless indicates a shift from common sense ways of meaning making, where the lexicogrammatical forms chosen are congruent with the semantics of the event or experience, to uncommon ways of meaning making through a more metaphorical reconstrual of experience.

Moving into an educational realm Christie (2002b:46) explains that it is in secondary instruction that adolescents start handling ‘the building of generalizations, abstraction, argument and reflection on experience that advanced literacy seems to require’. In particular, she suggests that children come to school with an understanding of the kind of grammatical generalization that allows them to interpret and handle common sense and interpersonal language, with grammatical abstraction evolving through schooling in the primary years. However, it is only in schooling at the secondary level that young adults start developing what would count as advanced literacy through the use of grammatical metaphor.

Table 2. Stages of language development (adapted from Halliday and Christie)

- Grammatical generalization ➔ Interpersonal language (common sense)
- Grammatical abstraction ➔ Basic literacy
- Grammatical metaphor ➔ Advanced literacy
Inasmuch as GM is a linguistic resource that condenses information that is otherwise expressed in congruent ways, the use of metaphorical forms represents a choice. As already noted, this choice is particularly prevalent in scientific or academic registers as a way of signaling the value the discourse communities engaged in such language use attribute to ‘objectification’ and ‘abstraction’, a functional orientation that is achieved through the use of GM that packs more information into a clause. Just how such informational density is accomplished through GM has been particularly well studied for English in the sciences, in history and language arts (Eggins et al., 1993; Halliday 1998; Martin 1993, 1996; Simon-Vandenbergen et al., 2003). By contrast, little comparable work exists in Spanish (but see Gibbons 1999; Colombi 2000, 2002).

A HEADER

Grammatical Metaphor as a Linguistic Resource in Academic Language Use

Accordingly, this paper investigates the use of GM in Spanish in order to begin to address this lacuna in the particular context of academic Spanish. To repeat, the three major types of GM, the ideational, logical, and interpersonal GM, occur when the usual or ‘congruent’ realization of meaning is given a ‘non-congruent’ or metaphorical expression: ideational GM relates to experiential meaning, logical GM construes textual meanings, and interpersonal GM creates interpersonal meanings. In the following, I will explore these three forms at some depth for their various meaning-making potentialities and in terms of their various formal manifestations.

B HEADER

Ideational grammatical metaphor

Among the ways of representing experience SFL highlights the following:

Example 1: Congruent realization of meaning

En esta novela Poniatowska cuenta la historia de una mujer mexicana...
By contrast, the sentence below shows a more incongruent form of representing reality through a GM.

**Example 2: Incongruent realization of meaning: Grammatical metaphor**

La liberación femenina no fue un tema que afrontó el pueblo mexicano durante la Revolución.

Why consider this a metaphorical expression? The answer is predicated on accepting the notion that the congruent form is the unmarked way in which we represent experience and that the alternative or marked realization is a form of metaphor. Thus, in this example a process that would normally be expressed through a verb (liberar) has been metaphorically transformed into a fixed object, expressed by a noun (liberación). Using Halliday’s detailed description of GM in English (1998:211), I have adapted his table for the analysis of Spanish GM

**Table 3: Grammatical metaphor, adapted from Halliday**

<table>
<thead>
<tr>
<th>relator</th>
<th>circumstance</th>
<th>process</th>
<th>quality</th>
<th>entity (modifier)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>1</th>
<th>2</th>
<th>3</th>
<th>4</th>
<th>5</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>clause complex</td>
<td>Clause</td>
<td>Nominal group</td>
<td></td>
</tr>
</tbody>
</table>
### Table 3.1: Class shift (semantic type)

<table>
<thead>
<tr>
<th>Congruent</th>
<th>Metaphorical</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Adjective (Qualifier)</td>
<td>Noun (Entity)</td>
</tr>
<tr>
<td>2. Verb (Process)</td>
<td>Noun (Entity)</td>
</tr>
<tr>
<td>3. Verb (Process)</td>
<td>Adjective (Qualifier)</td>
</tr>
<tr>
<td>4. Adverb (Circumstance)</td>
<td>Adjective (Qualifier)</td>
</tr>
<tr>
<td>5. Conjunction (Relator)</td>
<td>Prepositional Phrase (Circumstance)</td>
</tr>
</tbody>
</table>

### C HEADER

Nominalization as a central grammatical metaphor

According to Halliday (1994:352), ‘nominalizing is the single most powerful resource for creating GM’.

### D HEADER

Formal realizations of nominalization

Example 3: Nominalization

La *emigración de la época de la Revolución Mexicana* fue de notable importancia para el campo de la literatura, debido a la gran cantidad de intelectuales que pasaron a los Estados Unidos huyendo de la agitación social de México. (M. Martín-Rodriguez, 2001:227)

<table>
<thead>
<tr>
<th>Congruent : Verbs (Process)</th>
<th>Incongruent : Nouns (Entity)</th>
</tr>
</thead>
<tbody>
<tr>
<td>(los intelectuales) <em>emigraron</em> durante la época de la Revolución Mexicana*</td>
<td>La <em>emigración</em> de la época de la Revolución Mexicana......</td>
</tr>
</tbody>
</table>
Illustrating the central feature of GM in general, nominalization as a GM combines the feature of a ‘process’ (emigrar) with that of an ‘entity’ in a ‘semantic junction’. According to Halliday, this semantic junction combines the meaning of the semantic type of congruent form (process: emigrar) and that of the metaphorical form (entity: emigración) into one language form. Furthermore, as in English, GM in Spanish allows for the condensation of information: once the process ‘emigrate’ has been nominalized it can be expanded considerably. As a result, like its English counterpart, the Spanish nominal group is the most powerful and also the most frequent resource for making meaning in academic texts. Thus, my own analysis of Spanish texts finds nominalizations to constitute 70 percent of all GMs found in the texts. It is then in line with the findings of Eggins et al. (1993), Ravelli (1988), and Jones (1990) who have demonstrated its frequency in English student writing.

The following introductory paragraph from Ana’s writing in the third quarter of instruction (i.e. the most advanced course of the SNS series), is a good example of nominalizations

Example 4: Nominalization - Ana’s introductory paragraph after 9 months of instruction

Las reformas dentro de la Revolución mexicana (Ana 33-01)^5

“¡Tierra y Libertad!” fueron las famosas palabras que gritó Emiliano Zapata que comenzaron la Revolución Mexicana. Antes de que rebeldes, como Zapata, comenzaran a levantar armas la división de las clases sociales era visible y las inigualdades no se toleraban más por el pueblo. Sin embargo, hubo ciertos aspectos de la sociedad mexicana que quedaron sin solución a finales de la guerra. Por ejemplo la liberación femenina no fue un tema que afrontó el pueblo mexicano durante la Revolución. Por falta de atención a
este tema, se incrementó la división entre los roles de los sexos. La guerra resultó en el aumento del machismo y el retraso de los derechos de las mujeres.

Eggins et al. (1993) explain the functions of this type of GM in history texts in English. The same functions are found in the Spanish model texts students read and interacted with in the SNS courses and, consequently, in their essays:

D HEADER
Functions of nominalizations
a) A central function of nominalization is to “remove people”, as it were. Numerous functions may be embedded in that “removal” that enable different interpretations of this particular GM: actors are no longer readily identifiable, they play a minor role, they are irrelevant for the case at hand, the writer is unable or unwilling to identify them or, coming from the other side, the end result of an action is more prominent than the action itself. In the examples I first provide a native speaker’s use of this kind of GM, followed by the heritage language student’s use.

Proclamar el inglés lengua única de los Estados Unidos es una prueba de miedo y soberbia inútiles. (Fuentes 2001:254)

...se incrementó la división entre los roles de los sexos. (Ana 33-01)

b) As stated, a core characteristic of nominalization as a GM is that it turns actions into things

Hablard más de una lengua no daña a nadie (Fuentes 2001:254)

La guerra resultó en el aumento del machismo y el retraso de los derechos de las mujeres. (Ana 33-01)

c) Nominalizations give existence to “things”; in particular, they create conceptual objects
El temor de los legisladores norteamericanos que condicionan la “estadidad” a la renuncia de la lengua es, desde luego, el miedo de que, si Puerto Rico mantiene el derecho al español, Texas, Arizona o Nuevo México reclamen lo mismo. (Fuentes 2001:252).

Por ejemplo, la liberación femenina no fue un tema que afrontó el pueblo mexicano durante la Revolución. (Ana 33-01)

As noted above, such nominalizations remove the agents of actions, thus creating more distance between the event and the participants. Then, once the actions have been nominalized, they can be talked about in more ‘material’ terms, as having occurred, as being available for modification and, most important, for movement in conceptual space as actors in their own right.

In the students’ writing development in academic Spanish just that kind of movement from congruent language into more incongruent language can be observed. More important, the exact development is illustrated as well in their oral language development, as shown in Ana’s language use in her final oral research presentation:

Excerpt from Ana’s oral presentation of her final research project on soap operas (telenovelas) (A33- OP-03)

15. este ... entonces ahora ... este...

16. ... **comenzamos** a ... a preguntarnos si hay algo más además de entretenimiento en estas ... este ... novelas.

17. Y...

18. la otra opción verdad que ... dije YO

19. XXX que mi ...

20. mi idea sería que. son,

21. es **una transmisión de valores sociales**,
Importantly, the emerging ability to use GM, in this case, nominalization, is not a replacive matter. Rather, noncongruence is itself to be imagined as existing along a continuum. Thus, Ana realizes the verb-process *transmitir* as a noun *transmission*, which can be modified (‘de valores sociales’). Later on in her presentation she opts for a more congruent form of this nominalization when she says:

27. Entonces este ...
28. sí es cierto que son ... valores sociales que son *transmitidos* y no …
29. este.. solamente entretenimiento,
30. este.. ¿qué son los.. qué son los mensajes que están,
ahmm …
31. que les estamos diciendo,
a los a los ciuda. tele. videntes ... de las novelas?

Much later in this passage she formulates her thoughts like this:

161. Ah ... en conclusión, rápidamente ... este: ..
162. las la tele, la, la tele. visión no sola ..
163. un medio de comunicación neutro por lo tanto *transmite* contenidos valóricos,
164. este.. creencias y modelos de conducta,
165. que la hacen esencialmente un sistema educativo.  

This type of nominalization constitutes the most frequent ideational GM in Spanish, not only in the academic texts to which students are exposed, but also in their own writing. At the same time, as students start using GM in their writing, the lexical density of their texts also
increases, reflecting a more condensed and incongruent form of semiosis and, therefore, of language use.

Verbal processes expressed through adjectives used as grammatical metaphors

The second most frequent type of GM is the verb-process functioning as an adjective. The metaphoric transformation of a process to a qualifier represents a shift from meaning ‘construed as process’ to meaning ‘construed as qualifier’, from ‘verb’ to ‘adjective’, and from a typical function in the clause of process to that of epiteph/qualifier in the nominal group. In Spanish, when the verb-process functions as a qualifier (past participle), it agrees in number and gender with the noun modified. In other words, in Spanish the GM has been grammaticalized inasmuch as the past participle agrees in gender and number with the thing modified, thereby becoming a structural unity.

En el caso que aquí nos interesa, las conclusiones de Sánchez son en extremo productivas para la concepción de la literatura chicana como literatura de resistencia frente a la cultura y sociedad dominantes,... (Martín-Rodriguez 2001:232)

<table>
<thead>
<tr>
<th>Congruent : Verbs (Process)</th>
<th>Incongruent: Adjectives (Qualifier)</th>
</tr>
</thead>
<tbody>
<tr>
<td>(las conclusiones) producen (resultados)</td>
<td>(conclusiones) productivas</td>
</tr>
<tr>
<td>(esta cultura y sociedad) dominan</td>
<td>(cultura y sociedad) dominantes</td>
</tr>
</tbody>
</table>

As students progress in their development of Spanish academic language during the year they make increasingly more use of this GM in their writing. This ideational GM, too, allows for condensation of information in a more incongruent form, i.e., agents are removed and time is not
defined. The following student writing samples show students using this kind of GM after the first three months of participating in the writing courses.

Ana’s essay (33-01)

En la constitución de 1917, aunque fue muy progresista, no se otorgó el derecho de votar a la mujer hasta el año 1954. Carlos Alvear Acevedo, menciona en su artículo “La Revolución Mexicana” (1993), algunas de las reformas progresistas de la constitución: la prohibición de la esclavitud, la libertad de trabajo, el “juicio de amparo” (405-406) y muchas otras más. Algunos de los planes mencionados por Acevedo son El Plan de San Luis, escrito por el candidato presidencial Francisco Madero (394), y El Plan de Ayala (399), escrito por el rebelde Emiliano Zapata.

Table 4 provides a summary of the development of Spanish adjectivization.

Table 4: Spanish adjectivization: Semantic and grammatical junction

...la constitución fue muy progresista (singular - feminine)

las reformas progresistas (plural - feminine)

los planes mencionados (plural - masculine)

(el plan) escrito... (singular - masculine)
In other words, Spanish grammar allows for the ‘semantic junction” to be reflected in the grammatical and semantic form of an adjectival GM, conflating the noun and modifier in a unity of meaning and form.

Thus far I have detailed only two types of ideational GMs that have frequent realizations in Spanish: processes remapped as nouns or as adjectives. Table 3 above presented the movement from left to right in the realization of the GM, i.e., from more congruent (transparent) language to more incongruent forms. It is important to recognize that relators (or conjunctions) can be construed as a circumstance (i.e., grammaticalized as a preposition, in a prepositional phrase) or as a circumstance, that a process can be construed as a quality (grammaticalized as an adjective), and, finally, that a process or a quality can be construed as an entity (grammaticalized as a noun) but not the other way around (Halliday 1998:211).

B HEADER

Logical grammatical metaphor

Logical grammatical metaphor refers to the condensation of meaning in an incongruent way at the level of the organization of the discourse. The most congruent form of joining two ideas is with a conjunction. By contrast, when conjunctions are realized through processes and nouns, allowing for two or more clauses to become one, that realization is referred as a logical GM. Once more, the following examples of logical GM are taken from Ana’s writing.

Las palabras del Arzobispo no causaban miedo en la gente pobre salvadoreña, sino agradecimiento de que por fin alguien pensaba en ellos. (Ana-32-03)

La guerra resultó en el aumento del machismo y el retraso de los derechos de las mujeres. (Ana 33-01)
Este poema tiene relevancia a la situación actual en los Estados Unidos, ya que muchos latinos piensan regresar a sus países de origen después de haber huido por razones políticas. (Ana 32-03)

El valor de la obra reside en que la gente no pierde la esperanza de algún día regresar a su ‘antigua tierra’, que es parte tan importante de su vida. (Ana 32-03)

Lorena’s development shows a similar trajectory:

La consecuencia de esto es que ahora la economía del país está muy inestable. (Lorena 33-05)

Quizás de todos los resultados de la revolución, el más importante fue la democracia del país. (Lorena 33-05)

In spoken language, in particular, logico-semantic relations such as cause and effect are more commonly realized by conjunctions. This type of metaphor is called ‘logical GM’ because it involves what Martin (1993) calls ‘buried reasoning’, or the metaphorical realization of the logico-semantic relations (e.g., cause and effect) that in a less metaphorical realization would be expressed by conjunctions. This metaphorical realization of conjunctive relations by processes like ‘resultar’, ‘causar’, ‘depender de’, etc. and nominal groups like ‘los efectos’, ‘los resultados’, ‘las causas’, ‘las consecuencias’, is particularly frequent in the written (academic) medium and in genres that explain and elaborate, such as the open-question essay and the research paper in the humanities. Explaining just such functionalities of GM, namely as a tool for organizing texts, is essential, so that students to learn to handle the challenges presented by abstract text in the humanities and sciences.

In my analysis of the development of academic language in Latino students, the logical GM appear more frequently only after students start using other types of ideational GMs. For example in Ana’s introductory paragraph (presented above), which was written at the end of the academic year,
presents several nominalizations as well as examples of logical GM. In a more congruent form these logico-semantic relations could have been expressed by means of a conjunction, as in

El machismo aumentó por la guerra

Los derechos de las mujeres se retrasaron por la Guerra

B HEADER

Interpersonal Grammatical Metaphor

The last type of GM is the interpersonal GM. The main function of the ideational GM is to condense the information by way of packing more lexical items into one clause while deleting participants and the time of the processes, that is, the ideational GM is a more metaphorical way of expressing the meaning at the level of experience. The interpersonal GM, on the other hand, can be described as a metaphorical way to express interpersonal meanings that are congruently represented in mood and modality choices. The use of this kind of GM is especially important in academic language as it allows for a more explicit or implicit presence of the writer/speaker in the discourse. Expressions like ‘creo, pienso, estoy convencida, estoy segura’, known as ‘explicitly subjective’ (Martin 1997), express the modal assessment of probability in a clause that makes the speaker explicitly responsible for the assessment.

Yo pienso que toda la pelea fue inutil por que nada se mejoró con la guerra sino que con la comunicación que solo empezó mucho después que aya terminado la violencia. (Rosa 33-05)

En resumen, yo estoy de la opinión de que Francisco Villa, Emiliano Zapata y otros no murieron en vano. (Lorena 33-05)
En mi opinión, yo pienso que la revolución, aunque hubo muchas muertes de inocentes, sirvió mucho. (Lorena 33-05)

Modalization may also be made explicitly objective, through nominalizations of probability and usuality that construe the writer’s presence and judgment either as a quality (adjective) ‘es posible, probable, cierto, típico, etc.’ or as expressing a thing like ‘no hay posibilidad de...’. The following are examples from the students’ texts.

Cuando se habla de la Revolución Mexicana, es importante notar cuáles fueron los beneficios de la guerra. (Ana 33-01)

Es claro que el tema más importante del poema es la vida. (Ana 32-03)

Además hay que tener en cuenta que el último verso de cada estrofa contiene la palabra “nunca”.... (Ana 32-03)

Es evidente que desde el principio la autora se identifica con la gente de su país contándole de nuestra tierra. (Ana 32-03).

The use of SFL as a pedagogical framework will call for the explicit presentation of the linguistic features that realize objectiveness or subjectiveness in the texts. Consequently, a clear understanding of the interpersonal GM is essential for students to become aware of the different lexicogrammatical resources they have to express meaning. Ana’s examples of her final research paper (RP) and oral presentation give us an idea of how students start working with these concepts.

In the methodology section of the RP, Ana using an explicitly objective GM, writes:

El proyecto actual: Sus intenciones y metodología

La autora intentó llegar a una respuesta lacónica por medio de una investigación de 3 telenovelas de cada uno de los países con las más grandes sumas de telenovelas: México y Brasil. La investigación se enfocó en las décadas de los 1980s, 1990s y hasta lo más
reciente de los años 2000. Casi 4.000 telenovelas fueron televisadas en México y Brasil, desde la década de los 80 hasta el año 2002 (Puga 1986, Cabrujas 2002, Fadul 1993). A principios de esta década, ocurrió una “epifanía” mundial sobre la telenovela, con el incremento de su exportación como consecuencia de la necesidades de la globalización de los mercados latinoamericanos (Mazziotti 26b, Fonseca & Miranda-Ribiero 98, de Urbina & Lopez, 1999). Por esa razón, el enfoque de esta investigación se trató de las telenovelas transmitidas en los últimos 20 años en dos de los más grandes mercados de este género. ...La autora reconoce que las telenovelas investigadas no fueron las más populares en los países que fueron transmitidas. Aún así la investigación logró acertar resultados concurrentes en las 6 telenovelas: La telenovela latinoamericana sirve para inculcar la idea patriarcal que la meta principal de la mujer es casarse, tener hijos y formar parte de una familia próspera. Además el matrimonio se describe como un ritualismo que no debería tomar lugar sin que las dos personas estén sumamente enamoradas de cada uno. (Ana 33-RP)

In other words, Ana is conveying objectivity by detaching herself from her work, for example, by using ‘la autora’ to refer to herself as the author of the work submitted. Even though the use of ‘la autora’ as authorial self-reference in academic register in Spanish in the humanities ultimately turns out not to be common or effective, it is clear that the student is experimenting with the interpersonal GM to present herself in a more objective way. On the other hand, in the oral presentation of her research paper she used subjective interpersonal GM in ways appropriate for the oral medium, showing that she is able to choose among different lexicogrammatical resources in academic Spanish in different environments of use:

Título: La influencia de la telenovela en la cultura latinoamericana
1. *Mi presentación* es sobre las telenovelas

2. XXXar porque *tenemos* como diez minutos antes de que se termine la clase.

3. ahm.. XXX

4. *Lo que hice* fue que… *recu*.. ah.. *hice* una...

5. una.. investigación,

6. de todas las telenovelas {que salieron en México y Brasil desde 1980 hasta el año 2002}.

7. este: fueron como.. *voy a mencionar* un total casi de cuatro mil..ah.. telenovelas en total {que han salido desde...en estos veinte años}

8. ah..y:: de esas *escogí* tres novelas de cada país.. *son* XXX al resto de los resultados. (Ana 33-OP-03)

A HEADER

Conclusions and Implications

From an SFL perspective, developing knowledge and understanding of the content area and developing control of the linguistic resources that construct and communicate that knowledge and understanding are essentially the same thing (Hasan 1996). The case of Spanish as language arts and a minority language in the context of the education in the United States has its own characteristics and hence entails distinctive literate practices. In this paper I have focused on the use of GM in Spanish as a linguistic resource deployed by Spanish heritage speakers to realize academic language. It is important to emphasize that GM in this context needs be understood as a linguistic resource, i.e., a mechanism or process of the linguistic system (Derewianka: 2003) and not as a mere component of the language. GM is a way of meaning characteristic of academia and professional contexts that students being apprenticed into the professions
need to learn, i.e., how to use language in a meaningful way in their field; they need to know what ways of positioning themselves are valued in society.

Pedagogical implications of the use of GM in Spanish are many. Texts with a high degree of GM tend to be considered prestigious in Spanish speaking cultures, as they are in English-speaking contexts. GM, particularly nominalization, is a typical feature of many types of written (academic) texts and is usually associated with the notions of ‘abstraction’ and ‘distance’ in the humanities and social sciences and with technicality in the sciences (Halliday 1993). Knowing how to use GM in academic registers is an essential part of developing academic language. Realizing the similarities and differences between Spanish and English can help bilingual students to transfer these features from one language into the other.

Much more research is needed to identify academic language development, but SFL provides a framework for explaining how lexico-grammatical features mean in the academic context. The analysis discussed here indicates that communicatively effective features of Spanish academic texts are indeed identifiable and amenable to specification. Further research into the nature of the pedagogical effectiveness of a GM-informed approach to texts with different student groups for a variety of purposes could then lead to an informed agenda for the curriculum in Spanish as a heritage language in the United States.
References


Colombi, M. C. 2002 Academic language development in Latino Students’ writing in Spanish, in M. Schleppegrell and M. C. Colombi (eds), 67-86.


Jones, J. 1990 Grammatical metaphor and technicality in academic writing: An exploration of ESL (English as a second language) and NS (native speaker) student texts, in F. Christie (ed), Literacy in Social Processes. Deakin University, Australia: Centre for Studies of Language in Education, 178-98.


Endinburg: The University of Texas-Pan American.


Ravelli, L. and Ellis, R. (eds) 2004 Analyzing Academic Writing: Contextualized Frameworks London: Continuum


Notes

1 According to the U.S. Census Bureau, Hispanics (the term assigned by the U.S. Census and referring to people of all ethnic backgrounds but whose origin is a Spanish speaking country) are the fastest growing segment of the population, totaling 37.4 million in March 2002 and the largest minority in the United States. Half of all Latinos live in just two states: California and Texas. Latinos in California accounted for 11.0 million persons and 31 percent of the Hispanic population in the U.S., while Texas has 6.7 million persons and 19 percent. The number of Latino owned firms has grown immensely in the last 10 years reporting a figure of 1,574,159 in the last census.
With regard to their sociocultural background, most of the Latino students at the University of California, Davis, are second or third generation Spanish speakers who are the first in their families to access higher education. This program aims at developing their academic proficiency in oral and written modes. When entering the program students bring with them the oral features of Spanish of interpersonal communications and informal conversational registers; over the course of the year of instruction they move along the continuum of language, developing some features of academic language.

The corpus of written and oral texts was studied following a genre/register analysis of genre (text type) and its functional components to identify the appropriateness and effectiveness of the students’ texts according to the purpose and context of the situation. Then a SFL clause combining analysis in combination with lexical density and nominal density was applied to the corpus to determine the grammatical intricacy and lexical density of the texts. The findings of this analysis could help explain the students’ movement within the continuum of language development in Spanish. For further information on the analysis of the corpus vid. Colombi (2002).

All names are pseudonyms to protect students’ identity.

All examples come from the first version of their multiple version assignments and have been copied literally without editing or correction.

The oral presentation is a genre that falls within the category of public speech and forms part of a continuum of genres of academic language. It is part of the spoken language as the interlocutors are co-present in the realization of the text; however, it is not spontaneous, as students have researched and composed it in writing ahead of the
presentation. In the cases analyzed, the students presented a written outline on the day of their presentation, followed by the research paper with a total of three versions.

7 This segment belongs to the conclusions section of the oral presentation.

7 This excerpt comes from the development of Ana’s essay, ‘Las reformas dentro de la Revolución mexicana’, quoted above.